

FAQ

Q: What are the Entry Qualifications for CCM?

A: GCE Advanced Level ? Passing of all subjects

Q: Are there any other qualifications acceptable to the SEC other than GCE A/L in order to register for the CCM?

A: Bachelors" Degree or Professional qualification acceptable to the SEC

Q: If I have a Bachelor's Degree what are the exemptions I could get?

A: Bachelors Degrees will be evaluated case-by-case basis

Q: I have completed 1st Module of the Investment Advisory course conducted by the CSE. Where do I stand in terms of the CCM?

A: you will have to start the CCM from the beginning

Q: I have completed the 1st Module and few subjects in 2nd Module of the Investment Advisory course conducted by the CSE. Where do I stand in terms of the CCM?

A: you will have to start the CCM from the beginning

Q: I have completed both Modules of the Investment Advisory course conducted by the CSE. How can I obtain the CCM qualification?

A: you will have to start the CCM from the beginning

Q: I have completed both Modules of the Investment Advisory course conducted by the CSE and obtained the Licence. How can I obtain the RIA designation?

A: you will have to obtain the CCM first and then face a VIVA VOCE Examination with 6 months experience with a licensed stock broker