



# Diploma in Capital Markets APPLICATION FORM



Please fill the application form in BLOCK CAPITALS

## PERSONAL DETAILS

|                            |                      |  |  |
|----------------------------|----------------------|--|--|
| Title: Mr / Mrs / Ms       | Family Name:         |  |  |
| First Name :               | Middle Name:         |  |  |
| Date of Birth:             | Nationality:         |  |  |
| Gender (M/F):              | NIC No:              |  |  |
| Address of Correspondence: |                      |  |  |
| Mobile No:                 | Tel No : (land line) |  |  |
| Email Address:             |                      |  |  |

## EDUCATION

| Category I                                  | Registration Number: | Year of Completion: |
|---|----------------------|---------------------|
| <b>Certificate in Capital Markets (CCM)</b> |                      |                     |
|   |                      |                     |

| Category II                   | Programme | Year of Completion: |
|-------------------------------|-----------|---------------------|
| <b>Academic Qualification</b> |           |                     |
|                               |           |                     |

| Category III                       | University | Academic Programme | Year of Completion |
|------------------------------------|------------|--------------------|--------------------|
| <b>Professional Qualifications</b> |            |                    |                    |
|                                    |            |                    |                    |

**DECLARATION**

I certify that the information given in this application is true, complete and accurate and no information has been omitted. I accept that if I do not fully comply with these requirements of the Capital Market Education & Training Division, of The Securities & Exchange Commission of Sri Lanka shall have the right to cancel my application and shall have no claim against them. I understand that this application and all supporting documents become the confidential property of the Capital Market Education & Training Division and will not be returned, copied or released.

Signature.....

Date .....

Applicants will be required to submit the following documents along with the completed application form

- Copy of the CCM certificate / letter  
**or/and**
- Copy of the Degree / Professional examination certificate
- Copy of the NIC/Passport

**The copies of the above certificates should be certified by a Justice of peace / Commissioner of oaths / Notary Public**

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**FOR OFFICE USE ONLY**

| <b>Documents Submitted</b>                             | <b>Payment Details</b> | <b>Educational Certificates</b> |
|--|------------------------|---------------------------------|
| BOC receipt for<br>SEC payment (Rs. 32,500 + VAT)      |                        |                                 |
| CISI £ 132 bank draft                                  |                        |                                 |
| Degree / professional, Educational<br>certified copies |                        |                                 |

**Capital Market Education & Training Division**  
**Securities & Exchange Commission of Sri Lanka**  
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