

SECURITIES MARKET REGULATION AND ETHICS HOW THE PROPOSED NEW SEC ACT AFFECT YOU

CPD PROGRAMME | MARCH 2017



Speakers:

Mr. Ravi Abeysuriya, CFA

Group Director, Candor

**Consultant, Eagle Proprietary Investments Limited,
Dubai, UAE**

Will speak on current Status of our Stock Market, IOSCO
Principals of Securities Regulation and Self Regulation in
Securities Markets

Mr. Naomal Goonewardene, CFA

Partner, Nithya Partners

Will speak on how the proposed new SEC Act could affect you

DATE : WEDNESDAY, 08 MARCH 2017

TIME : 3:00 PM - 5:00 PM

**VENUE : SRI LANKA INSTITUTE OF TOURISM AND
HOTEL MANAGEMENT AUDITORIUM**

REGISTRATION CLOSING DATE: 06 MARCH 2017

Registration fee Rs 1,500/-

Cheques and cash should be deposited to the **BOC A/C No. 9919753**

Forward the original receipt along with the application form.

Cheques should be made payable to

"Securities and Exchange Commission of Sri Lanka".

Coordinator:

Mrs. Nisansala Herath | 0112 143 843 (Ext 154)/ 0117 207 312

Email: nisansala@sec.gov.lk

**YOU ARE STRONGLY ADVISED TO COMPLY WITH THE CPD REQUIREMENT IN ORDER TO RENEW YOUR
REGISTERED INVESTMENT ADVISOR LICENSE FOR THE SUBSEQUENT YEAR
(As per SEC Circular No. 08/2009 dated on 13th October 2009)**



Capital Market Education and Training
The Securities and Exchange Commission of Sri Lanka
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