

## Entry Qualifications

Minimum entry qualification is passing all subjects at the Advanced Level Examination. Applicants who do not possess the minimum entry qualification should be eligible from below mentioned two categories;

**The professional level entry qualification category:** Holding a Membership at either Institute of Chartered Accountants of Sri Lanka (ICASL), Chartered Institute of Management Accountants (CIMA), Association of Chartered Certified Accountants (ACCA), Sri Lanka Institute of Marketing (SLIM), The Association of Accounting Technicians of Sri Lanka (AAT) or any other qualification acceptable to the SEC.

**The academic level entry qualification category:** Possessing a Bachelor's Degree in either Finance, Banking, Business Administration, Accounting, Management, Economics, Marketing, MIS / IT, Engineering, Law or Arts.

## Why this qualification is unique

- Licence to function as an investment advisor can be only obtained through this qualification framework.
- The recognition of the qualification is due to the fact that it is granted by a Government Authority which is the highest authority in the capital market in Sri Lanka.

## Payment Details

Course Fee : Rs 30,000/-  
Examination Fee : Rs 1,000/- (per Series)

Cheques and cash should be deposited to **Bank of Ceylon (Corporate Branch) A/C No. 9919753** and forward the original receipt along with the application form (All cheques should be drawn in favor of **"Securities and Exchange Commission of Sri Lanka"** crossed "A/C Payee Only")

***Entry to the programme will be selective and limited***

**Completed applications will be accommodated on first come first serve basis**

Application forms are available at the SEC and CMET websites

[www.cemetsec.gov.lk](http://www.cemetsec.gov.lk) and [www.sec.gov.lk](http://www.sec.gov.lk)

and

at the SEC Reception  
Level 29, East Tower  
World Trade Centre  
Colombo 1

***for more information***

Tele: + 9411 2143822/+94117207312 (Direct)

+94112143843 Ext.152

Fax: +94112423261



## Certificate in Capital Markets (CCM)

**An excellent opportunity to build  
a rewarding career in capital  
markets**

**Securities and Exchange Commission of Sri Lanka**

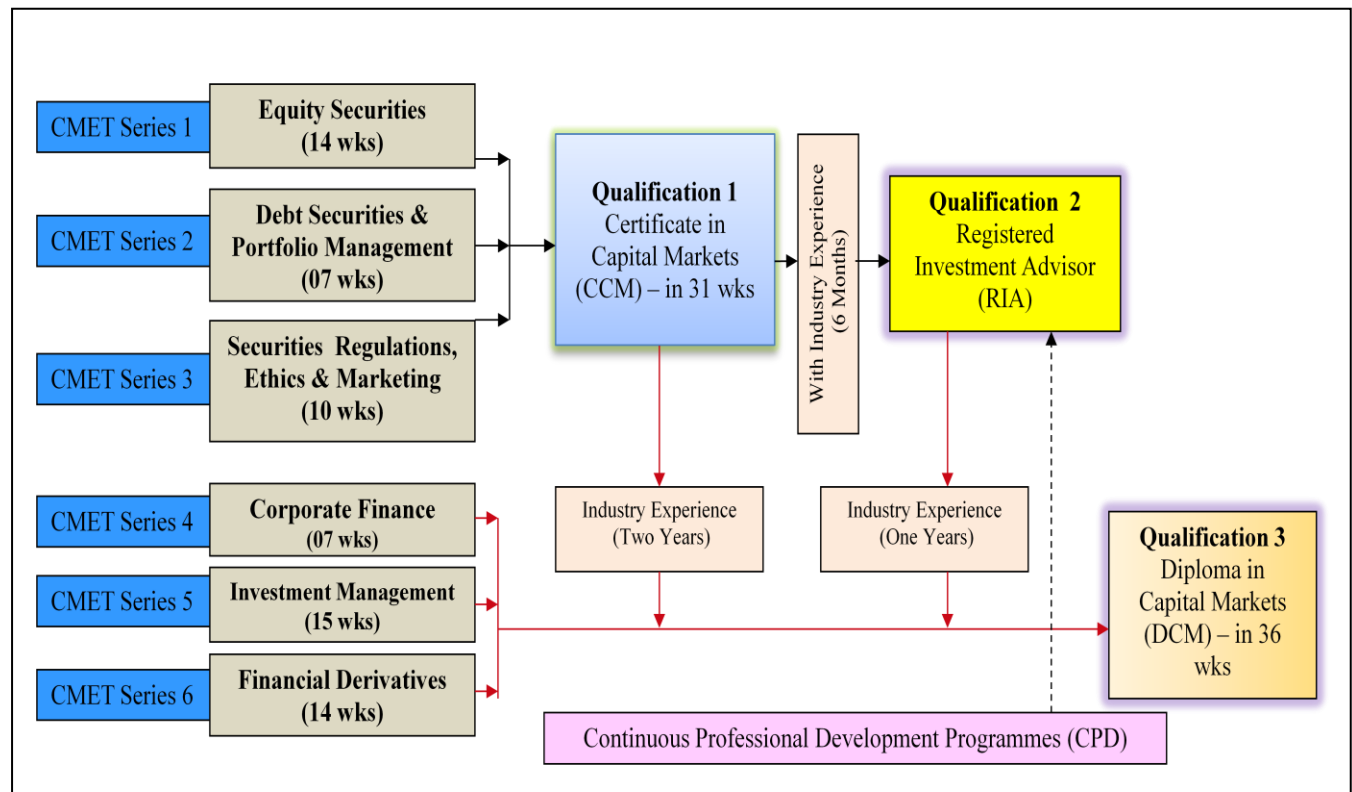
**SEC** | SECURITIES AND EXCHANGE  
COMMISSION OF SRI LANKA

Certificate in Capital Markets Programme (CCM) is the only qualification for capital market practitioners to pave the way to becoming an Investment Advisor Registered by the Securities and Exchange Commission.

The main objective of this qualification framework is to ensure that financial industry professionals have a minimum level of proficiency to perform their job functions. This is an essential prerequisite for developing a viable securities industry and safe guarding the interests of the investors. Obtaining this qualification will be useful for recruitment in the areas of Banking, Stock brokering, Money brokering, Fund management, Investment banking, Corporate financing, Venture capital, Insurance, Leasing & Finance, Accounting, Valuation, Financial consulting etc.

**Statutory Requirement** - In terms of the Securities and Exchange Commission of Sri Lanka (SEC) Act No. 36 of 1987 (as amended), obtaining the Certificate in Capital Markets is a mandatory requirement to function as an investment advisor.

**Student Support** - Classes are conducted by an expert panel of lecturers drawn from the academia and industry. The students will additionally be supported by review sessions and one-on-one support methods. Attendance at lectures is not compulsory.



### Exemptions

Exemptions from any particular series will be granted on a case by case basis upon evaluating the documentary evidence of prior academic or professional training in the particular subject area acceptable to the SEC.

### Candidate Resources Pack

Candidate will be required to purchase the text books, study guides, financial calculator and other supplementary material (study notes and PPT are included in the course fee).

### Medium

Course is available in both English and Sinhala Medium.

### Course Details

A new batch will commence twice a year for English Medium. Sinhala batches will commence according to industry requirement.

Duration is approximately 7 months. Course schedule and other relevant details will be provided to you at the registration.

Classes will be held on Saturdays at the World Trade Centre, Colombo 1.